



Please type a plus sign (+) inside this box →

1724  
PTO/SB/21

OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>		Application Number	10/506,712
		Filing Date	September 7, 2004
		First Named Inventor	Lee Underwood et al.
		Group Art Unit	1724
		Examiner Name	To be Assigned
Total Number of Pages in This Submission	5	Attorney Docket Number	8830-294 (200564)

<b>ENCLOSURES (check all that apply)</b>		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input checked="" type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/ Incomplete Application  <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers <i>(for an Application)</i>  <input type="checkbox"/> Drawing(s) – Figs.  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition  <input type="checkbox"/> Petition to Convert to a Provisional Application  <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s) <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC
		<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
		<input type="checkbox"/> Appeal Communication to TC <i>(Appeal Notice, Brief, Reply Brief)</i>
		<input type="checkbox"/> Proprietary Information
		<input type="checkbox"/> Status Letter
		<input checked="" type="checkbox"/> Other Enclosure(s) <i>(please identify below):</i> PTO 1449
Remarks:		

<b>SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT</b>	
Firm Name	Drinker Biddle & Reath, LLP
Signature	
Printed Name	Robert E. Cannuscio - 36,469
Date	December 13, 2005

<b>CERTIFICATE OF MAILING UNDER 37 CFR 1.8</b>	
I hereby certify that this paper, along with any documents referred to as being enclosed therewith, is being deposited with the United States Postal Service in an envelope addressed to U.S. Patent Office, Assistant Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date:.	
Typed or printed name	Laura M. McNeely
Signature	
Date: December 13, 2005	



**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re: Patent Application of  
Lee Underwood et al.

Attorney Docket:  
8830-294 (200564)

Serial No. 10/506,712

Group Art Unit: 1724

Filed: September 7, 2004

Examiner: Not Yet Assigned

For: Water Filter And Treatment System  
and Component

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §§1.97-1.98, the following references listed below and on the accompanying Form PTO-1449 are being identified in this application.

This Information Disclosure Statement is being filed before the mailing of a first office action on the merits. Accordingly, no certification, petition, or fee are required. 37 C.F.R. §1.97(b)(1).

**CERTIFICATE OF MAILING  
UNDER 37 C.F.R. 1.8(a)**

I hereby certify that this paper, along with any paper referred to as being attached or enclosed, is being deposited with the United States Postal Service, on the date indicated below, with sufficient postage, as first class mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

*Dawn M. McKey*  
Signature of Person Mailing Paper

*Number 13, 2005*  
Date

U.S. Patents

4,720,800  
5,152,252  
5,276,432  
5,294,916  
5,296,655  
5,606,516

The Examiner is respectfully requested to review the references identified herein and make them of record in the instant application as required by M.P.E.P. §609.

The references listed in this IDS comprises the most pertinent prior art known to applicant and its attorneys as of the date hereof. This IDS should not be construed as a representation that the cited references are material or that no better art exists.

It is requested that the Examiner initial the enclosed duplicate substitute PTO Form 1449, and return one copy to Applicants' undersigned representative with the next action.

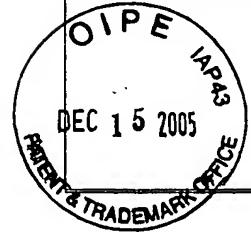
Respectfully submitted,  
LEE UNDERWOOD ET AL.

By:

  
Robert E. Cannuscio  
Registration No. 36,469  
DRINKER BIDDLE & REATH LLP  
One Logan Square  
18<sup>th</sup> & Cherry Streets  
Philadelphia, PA 19103-6996  
Tel: (215) 988-3303  
Fax: (215) 988-2757

Attorney for Applicants

SUBSTITUTE FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. <b>8830-294 (200564)</b>	SERIAL NO. <b>10/506,712</b>
INFORMATION DISCLOSURE CITATION		APPLICANT: <b>Lee Underwood et al.</b>	
		FILING DATE <b>September 7, 2004</b>	GROUP: <b>1724</b>



## **U.S. PATENT DOCUMENTS**

## **FOREIGN PATENT DOCUMENTS**

**OTHER DOCUMENTS** (*Including Author, Title, Date, Pertinent Pages, Etc.*)

**EXAMINER:** Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

SUBSTITUTE FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. <b>8830-294 (200564)</b>	SERIAL NO. <b>10/506,712</b>
INFORMATION DISCLOSURE CITATION		APPLICANT: <b>Lee Underwood et al.</b>	
		FILING DATE <b>September 7, 2004</b>	GROUP: <b>1724</b>

## **U.S. PATENT DOCUMENTS**

## **FOREIGN PATENT DOCUMENTS**

**OTHER DOCUMENTS** (*Including Author, Title, Date, Pertinent Pages, Etc.*)

**EXAMINER:** Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.